

I fw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/737,203 : Confirmation No.: 7086
Applicant : Hoshang Subawalla, et al.
Filed : December 16, 2003
For : Processing Of Semiconductor Substrates With Dense Fluids Comprising
Acetylenic Diols And/Or Alcohols

Art Unit : 1765
Examiner :

Docket No. : 06413P USA
Customer No. : 23543

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

| | |
|--|--|
| CERTIFICATE OF MAILING | |
| I CERTIFY THAT THIS PAPER (ALONG WITH ANY PAPER REFERRED TO AS BEING ATTACHED OR ENCLOSED) IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: | |
| COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450 | |
| ON | <u>1-13-2005</u> |
| | Date |
| | <u>Rosaleen P. Morris-Oskanian</u> |
| | (Type or print name of person mailing paper) |
| | <u><i>Rosaleen P. Morris-Oskanian</i></u> |
| | Signature of person mailing paper |

Sir:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(b)**

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of date of entry into the national stage as set forth in § 1.491 in an international application; before the mailing date of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.


Respectfully submitted,

Rosaleen P. Morris-Oskanian

Rosaleen P. Morris-Oskanian
Attorney for Applicant(s)
Registration No. 47,321

7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8169

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|--------------------------|---------------------------|------------|
| Substitute for form 1449/PTO | | | Complete if Known | | |
|  | | | Application Number | 10/737,203 | |
| | | | Filing Date | December 16, 2003 | |
| | | | First Named Inventor | Hoshang Subawalla, et al. | |
| | | | Art Unit | 1765 | |
| | | | Examiner Name | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 06413P USA |

| U. S. PATENT DOCUMENTS | | | | | |
|------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | | US- 6,589,355 B1 | 07-08-2003 | Thomas, et al. | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | EP 0 830 890 | 03-25-1998 | Air Products and Chemicals, Inc. | | ✓ |
| | | WO 03/057811 | 07-17-2003 | Advanced Technology Materials, Inc. | | ✓ |
| | | EP 1 050 577 | 11-08-2000 | Air Products and Chemicals, Inc. | | ✓ |
| | | EP 1 115 035 | 07-11-2001 | Air Products and Chemicals, Inc. | | ✓ |
| | | EP 1 402 963 | 03-31-2004 | Air Products and Chemicals, Inc. | | ✓ |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.